The music of French-American composer Dane Rudhyar (1895–1985) has remained virtually untouched by analysis for almost a century. Even though Rudhyar's importance in the development of American music has been increasingly acknowledged by historians in the past two decades, his compositions have still received only the most superficial attention. Some of this neglect may result from Rudhyar's musical language itself, which—because of its highly idiosyncratic, personal nature—does not readily invite analysis. Indeed, Garland 1982 describes Rudhyar's piano music as “aurally difficult” because of the “absence of tonal, harmonic relationships in the classical sense . . . combined with the strongly through-composed qualities of the pieces (37–38). Rudhyar’s music lacks not only common-practice-period tonality but also formal systems such as the twelve-tone method (which Schoenberg developed during Rudhyar’s most active compositional period: the 1920s). Thus Rudhyar’s music presents many of the same analytical challenges posed by the free-atonal music of the Second Viennese School. However, as this essay will demonstrate, a close analysis of Rudhyar’s music reveals a structural integrity all the more striking because it is belied by a highly improvisatory surface.

Rudhyar’s own anti-formalist rhetoric further discourages analysis of his music and also had a lasting effect on the views of his fellow American “ultra-modernists,” for whom he served as a spiritual leader in the 1920s and ’30s after his immigration from France to America in 1916. Rudhyar’s “passionate espousal of utopian modernism” deeply affected the young Ruth Crawford, according to Judith Tick, who describes him as a “messianic figure” (1997, 49). The charismatic

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ABSTRACT: French-American composer Dane Rudhyar’s (1895–1985) vision of dissonance as a spiritual discipline was profoundly influential upon American ultra-modernist composers in the 1920s and ’30s. Rudhyar’s own compositions manifest his theoretical ideas, which revolve around a mystical conception of Tone as the totality of all possible musical sounds. His prose reveals several interrelated methods of creating Tone: using the piano’s sounding board as a gong, employing dissonant harmony (relating pitches by geometric relationships, which manifest as interval cycles), applying “the new sense of space” (beginning from wholeness, which requires equal divisions of the octave), and creating organic forms by basing each composition on a “seed-tone” (a dissonant tonic sonority). Rudhyar’s theoretical writings suggest two compatible methods of constructing seed-tones: building quintal sonorities (which exemplify dissonant harmony in Rudhyar’s theory) and employing “interpenetrating harmonic series.” These two methods facilitate the identification of seed-tones and their elaborations in Rudhyar’s piano music. Schenkerian-style graphs accompany detailed analyses of two of Rudhyar’s piano pieces: “Stars” and the first movement of Granites. Rudhyar’s seed-tones may suggest new analytic perspectives for other post-tonal repertoire. In addition, Rudhyar’s connection of dissonance with spirituality serves as a reminder that many early-twentieth-century pioneers in atonality and dodecaphony perceived a numinousness in this new music.

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Frenchmen's "rejection of reason" had a liberating effect on Crawford's compositional development, causing her to disdain counterpoint and to experiment with the symbolic, mystical power of the single tone (Tick 1997, 50, 72, and 83). Similarly, Carol Oja paints Rudhyar as "a high priest . . . exploring the connection of dissonance to the spirit" (1999, 129) and a foil to the other acknowledged leader of the ultra-moderns, Charles Seeger. While the latter developed a "systematic theory of 'dissonant counterpoint,'" Rudhyar "expressed little interest in systems of any kind, preferring poetic odysseys" (Oja 1999, 130). Moreover, Oja claims, "In explaining his concept of sound, Rudhyar never resorted to technical descriptions. Doing so would have violated his campaign against formalism" (1999, 135). After observing that "the most fundamental of Rudhyar's theories had to do with 'tone,' an elusive concept grounded in his utopian hopes," Oja concludes that "‘tone,’ as manifested in Rudhyar's own music, was achieved mainly through a buildup of sound" (1999, 141).

[1.3] Indeed, Rudhyar was fond of quoting Varese's maxim that "Music must sound!" (1984). However, a close reading of Rudhyar's own writings on music reveals that he is much more careful in his harmonic constructions than his anti-formalist rhetoric might suggest. In his 1928 treatise, The Rebirth of Hindu Music, Rudhyar declares:

> Resonance is not born of the Harmonic Series, as usually said by Western musicians. The basis for harmony (or the science of chord formation) is *not* the Harmonic Series, as usually believed, but the cycles of fifths and fourths. True melodies are founded on modes . . . which are segments of the Harmonic Series; but true chords or true polyphony (two methods of creating resonant) ought to be constructed on principles resulting from various cyclic aspects of the zodiacs of sound. (1928c, 88–89, emphasis original)

Thus, Rudhyar clearly does offer some technical descriptions of his musical vision. To be sure, they are buried among poetic effusions, numerological justifications, and occult metaphors. Yet the substance of his music theory remained remarkably consistent throughout his long life. Several decades later, he was still emphasizing harmonic constructions based on fifths:

> Most of my music is more or less based on series of fifths. If you listen attentively, those of you who are musicians—in 'Stars' for instance, and also at the end of 'Sunburst'—you will hear constantly repeated such series of fifths. You will find it also at the end of the last movement of Syntony, 'Apotheosis.' The fifths of course are not always perfect fifths. . . . The chords in order to become harmonized—a dissonant kind of harmony—do not depend on tonality but on the proper spacing of dissonant centers. (Rudhyar 1972)

The above passages may raise more questions than they answer; however, they do make one thing clear: Rudhyar had an enduring interest in harmony based on series of fifths—an interest that paradoxically binds him to the Western musical tradition he claimed to be so eager to overthrow.

[1.4] Douglas Kahn makes a similar point, arguing that although Rudhyar's thought relies upon an "Eastern" critique of the West, his philosophy actually "becomes a means through which 'the West' is fortified" (Kahn 2004, 118). Interpreting Rudhyar as a prophet of the liberation of sound prefiguring John Cage, Kahn concludes that Rudhyar's loyalty to "the harmonic tradition of Western art music" is contradictory (2004, 111). Rudhyar himself recognized this paradox, explaining, "Even today when this musical substantia is breaking up, when fundamental ideas begin to be questioned and various racial attitudes to music, Eastern and Western, are coming in contact, it is an almost hopeless task for any composer to try to escape from tempered scales, pianos and standardized orchestrations, to bring forward a new 'sense of tone'" (1928b, emphasis original). Thus, although Rudhyar's ends were genuinely revolutionary, his means were strikingly practical. Ultimately, this seeming contradiction is consistent with his mystical philosophy; Rudhyar holds the mystic's belief that true revolution can only occur through a change in human consciousness, rather than through developments in external conditions.

[1.5] Changing the spiritual condition of the listener is the very purpose of music in Rudhyar's vision of the new composer. Expressing a sentiment that would be anathema to Cage, Rudhyar laments the fact that "Human beings may live much of the time in a world filled with sounds, yet lose the sense of music" (1984). Whereas Cage seeks to remove the composer's will from the act of composition, Rudhyar boldly declares that "harmonic sound as a magical force directed by the will of the evoker (no longer a composer) . . . is the central fact of the new musical philosophy" (1973b, 56). He champions music as sound *not* for sound's sake but in order to revitalize music as a means of human communication. "Music," according to Rudhyar, "is to be heard, and what the tones produce in the hearer is the important thing" (1962, 184). In light of the above considerations, it is clear that while Rudhyar's anti-formalist invective about the liberation of sound may have been conspicuously influential upon his contemporaries in the 1920s, it is but merely one chapter in a lifelong philosophy of music that is as yet little understood.

[1.6] One author who has grappled with the full scope of Rudhyar's vision is Deniz Ertan; Ertan's *Dane Rudhyar: His Music, Thought, and Art* (2009) is the first book-length musicological study dedicated to Rudhyar's work. Emphasizing that "over the years his whole vision did not change direction but continued to grow and deepen, providing a body of work that is essentially consistent throughout," Ertan argues that "because of the reciprocal relationship between his creative and speculative activities, his work in all . . . fields needs to be viewed as a whole" (2009, 2). Indeed, Rudhyar was a true Renaissance man who produced not only music, but also paintings, philosophy, astrology, poetry, and fiction. Ertan's interdisciplinary approach helps elucidate the entirety of Rudhyar's vision, and her book is easily the most comprehensive
secondary source on his thought. However, to the music theorist seeking insight into the structure of his music, the fact that Erhan’s book dedicates more space to color plates of his paintings than to excerpts from his music is somewhat disappointing. Erhan does analyze several of Rudhyar’s compositions, a pioneering effort that is commendable in itself, given the almost total lack of scrutiny his music has received. Even in these analyses, however, Erhan's emphasis remains on Rudhyar's philosophy; she mostly explores the poetic and symbolic application of his philosophical ideas to his music. (2)

[1.7] In this essay, I complement Erhan’s groundbreaking exegesis of Rudhyar’s philosophy with a technical exploration of his music. The intersection of philosophy and music in Rudhyar’s output recalls Scriabin, and it is no coincidence that Rudhyar singled out the Russian composer as prophet of the music of the future. Methodologically, my analyses of Rudhyar follow in the footsteps of some of the more illuminating studies of Scriabin. In “Structure as Prism for Mystical Philosophy,” for instance, James M. Baker explains that his purpose is “not simply to trace through [Scriabin’s] music programmatic descriptions of particular concepts” but rather to show that “the work of art and the artist’s ideology are independent reflections of the artist’s intuition” (1997, 74–75). Similarly, Taruskin 1997 argues that Scriabin’s “mystic chord” is not merely symbolic or programmatic but a musical device that precipitates an experience in the listener because of its “uncanny stasis,” which results from its position “on a sort of cusp between two nonfunctional pitch collections”: the octatonic, and the whole-tone. “Because ego identification with musical process conventionally depends on functionally directed harmony, [when hearing the mystic chord] we are on the verge of not merely the intimation, or the representation, but the actual experience of ego transcendence” (344, emphasis added). Thus, Scriabin's philosophical end of ego transcendence finds a technical means in his harmonic innovations. Likewise, if Rudhyar's music expresses his mystical philosophy, it does so not merely by symbolizing certain concepts (in the manner of a Wagnerian Leitmotiv) but by actually causing (or at least attempting to cause) a palpable change in the spiritual condition of listeners; recall his conviction that “Music is to be heard, and what the tones produce in the hearer is the important thing, program or no program” (1962, 184).

[1.8] What Rudhyar seeks to create in the listener is an experience of Tone, a word he often capitalizes to distinguish his particular sonic ideal from the everyday meaning of the term. He defines Tone as “the quality inherent in the musical space which the human ear perceives as sound and to which the human mind, developed according to a particular culture, can respond as music” (1982, 136, emphasis original). Since Tone is a quality of musical space itself (which in Rudhyar’s somewhat nebulous conception is the entire range of possible sounds), no particular sound can create Tone in isolation; rather, Tone can only be evoked by the way in which various sounds are combined. This essay will show how Rudhyar creates Tone through four interrelated techniques:

1. by employing the piano as a gong to create “living tones”;
2. by using dissonant harmony to create “tone pleromas”;
3. by applying “the new sense of space,” which begins from wholeness rather than emptiness; and
4. by employing “seed-tones,” which organically integrate a composition’s diverse sounds in a single sonority.

Rudhyar describes each of these four methods in detail in his musical and philosophical prose, although it often takes considerable effort to understand how his mystical ideas relate to standard music theoretical concepts. Rudhyar's piano music exploits the sounding board to integrate myriad disparate tones into complex multipartite sonorities resembling the sounds of gongs and bells, which often feature many non-harmonic partials. His dissonant harmony constructs “tone pleromas” by combining individual tones connected by geometric relationships (as opposed to arithmetic ones), which manifest musically as cycles of equal intervals (i.e., the circle of fifths). The “new sense of space” similarly implies interval cycles because of its emphasis on beginning with musical space as a whole and then partitioning it. Finally, Rudhyar’s “seed-tones” act as dissonant sonic sonorities that guarantee the organic integrity of his compositions. We will now investigate each of these four methods in detail before observing how they function in several short Rudhyar piano pieces, including “Stars” (1931) from the Third Pentagram and the first movement of Granita (1929).

The Creation of “Living Tones” through the Piano

[2.1] Rudhyar conceives of Tone as “a living entity” unlike notes, which are merely black marks on paper (1972). He identifies living tones in the gongs of Asia (and, to a lesser extent, in the bells of Europe) with their “wondrous throbbing resonances” (1930b, 27). To Rudhyar these devices are “the greatest instrumental perfection of tone yet reached by man” (1930a, 34), so understanding what he hears in these sacred instruments will begin to elucidate his theory of Tone.

[2.2] The fundamental quality attracting Rudhyar to these “magical” instruments (1973a, 60) is the way they integrate a multitude of tones into one:

In a gong—in those huge gongs from Java, China and even to some extent in India—you have a brotherhood of tones. . . In the gong we hear a companionship of tones potentially brought together in a definite form and a definite structure, and as it were hammered together so as to create a compound tone which is infinitely resonant and contains in itself a multitude of tones. (1972)

Furthermore, by integrating a wide range of sounds, these masses of vibrating metal make “sonorous space” itself “tangible” (Rudhyar 1928e, 80). Since Tone is a quality of musical space itself rather than a property of individual sounds, it can be made audible only through the integration of particular sounds into what Rudhyar calls “holistic resonances” and “tone pleromas”
Thus, civilization is based not on the biological and local conditions which unite cultures, but on “a ‘higher’—because more way, too, because seven octaves span twelve perfect fifths (Rudhyar 1982, 80) and “the most stirring holistic resonances” (Rudhyar 1982, 141), they are the supreme instrumental means of conjuring Tone. As illustrated by Rudhyar's vivid descriptions of the sounds of gongs, his vision of Tone was not just a glorification of “the single tone” (that phrase which was so influential on the young Ruth Crawford) but—on the contrary—a preference for those complex multipartite sonorities which impart to sound a living quality.

[2.3] Rudhyar's desire for sonic integration makes his piano his instrument of choice. Whereas the modern orchestra certainly puts a diverse palette of sounds at the composer's disposal, Rudhyar finds it sorely lacking in its capacity to integrate them. According to Rudhyar, “The tone of the modern orchestra lacks homogeneity because of the fact that all instruments are like individuals telling their tale, each from its own point of view, with no feeling of relationship to the whole—a fit symbol for our modern civilized world!” (1923). Though admitting that “orchestras perform extraordinarily difficult scores, technically speaking,” he argues that the difficulty with his own music “seems to be its ‘inwardness’ or feeling-depth. It must be deeply felt by the performer, or else it seems unintegrated” (1923, 61). Thus Rudhyar values the piano as “a microcosm which one person can directly control and, at least to some extent, mold with his or her will and imagination—with his or her feeling-intuition of Tone” (1982, 195).

[2.4] Furthermore, for practical purposes, the piano's seven-octave range encompasses the totality of our musical space—a crucial concept for Rudhyar's “new sense of space.” The piano's range represents the entirety of our musical space in another way, too, because seven octaves span twelve perfect fifths (Rudhyar 1982, 133), which encapsulate all twelve pitch-classes of equal temperament. Stacked fifths are fundamental to Rudhyar's conception of dissonant harmony.

[2.5] Rudhyar's preference for the piano may at first seem contradictory in light of his glorification of non-Western music and his call “to realize melodic continuity between successive notes and do away with cut-and-dried keyboard scales” (1962, 185). Indeed, part of his reliance on the piano is practical, as he considers it “an almost hopeless task for any composer to try to escape from tempered scales, pianos and standardized orchestrations” (Rudhyar 1928b). Sobering as this concession to practicality may be, it reveals that Rudhyar is most concerned not with exploring a kaleidoscope of new sounds but with revolutionizing the way in which available sounds are integrated. In addition to placing the totality of musical space within control of a single performer, the piano offers an unparalleled means of integration: its sounding board.

[2.6] Rudhyar envisions the piano's steel sounding board as the modern Western equivalent of the gongs and bells he so admires. Taking advantage of this feature, however, requires a new type of piano playing based on the realization that the piano is a sort of big gong, that the important factor in the instrument is not the keyboard, not even the separate strings, but the homogeneous sounding-board or table of resonance which, especially with the help of the pedal, gives birth to tone-masses, to complex, synthetic entities of sound. Build these tone-masses according to the principle of dissonant harmony, and the piano becomes transformed into a gong (Rudhyar 1930b, 29).

Rudhyar acknowledges that this new pianism is presaged by the tone-clusters of Henry Cowell (1930b, 29). Despite the audible pianistic affinities between these two composers, however, the theoretical justifications behind their harmonic innovations diverge significantly. Whereas for Cowell the overtone series is an inexhaustible fount of new harmonic relationships, for Rudhyar it generates merely the music of the past. While Cowell seeks to extend harmonic practice via the relationships between higher, previously unused overtones (Cowell 1996, x–xi, 13–16), Rudhyar's dissonant harmony aims to transcend the harmonic series altogether.

**Dissonant Harmony and Tone Pleromas**

[3.1] Rudhyar's musical concept of dissonant harmony grows from his social philosophy, which contrasts “culture” with “civilization.” In his usage, a *culture* is a group of people united by their common ancestry. Their social organization, the *tribal order*, exhibits “unanimous consensus in all vital matters, and complete dependence upon nature” (Rudhyar 1977, 14). They are “rooted in [the land] almost as profoundly as trees in the soil,” and thus all cultures are “hautly-centered” and everything cultural has a “fundamentally biological character” (Rudhyar 1977, 8, emphasis original).

[3.2] Conversely, a *civilization* is a diverse group of people united by a common purpose. Lacking shared cultural heritage, they intentionally create unity—or, in Rudhyar's words, “multi-unity,” a term indicative of the members' original independence. This social organization, the *companionsate order*, is a “process of planetary scope” (Rudhyar 1977, 4) born of “the intuitions, the visions, the discoveries of great human minds reaching after universal principles, cosmic laws, and an understanding of rhythmic processes transcending the birth-growth-decay cycle of culture-wholes” (Rudhyar 1977, 13, emphasis original). Thus, civilization is based not on the biological and local conditions which unite cultures, but on “a ‘higher’—because more inclusive—level which we may call, for lack of better terms, spiritual-mental” (Rudhyar 1977, 15).
[3.3] The tribal order and the companionate order correspond with two types of musical harmony: the consonant order and the dissonant order, respectively. Describing the relationships between the frequencies of pitches, Rudhyar explains, “The Consonant Order is based on ‘arithmetical series,’—in music, on the series of overtones issued from one fundamental. The Dissonant Order is founded upon ‘geometrical series’—for instance the cyclical series of twelve fifths or twelve fourths (which I called Zodiacs of Sounds)” (1973a, 59). Summarizing this distinction, Rudhyar writes that “music is built on one of two types of series or progressions: series of frequencies where the initial tone adds itself to itself, and series of intervals where the initial interval adds itself to itself” (1928c, 82).

[3.4] While a shared fundamental guarantees the consonant order’s unity a priori, the dissonant order only achieves unity as the composer synthetically combines pitches to conjure Tone. For Rudhyar, the harmonic series of the consonant order is the perfect archetype and symbol of the tribe, each octave of overtones corresponding in a way to a generation. The entire series is in a strict sense the progeny of the fundamental, the Divine Ancestor (Abraham, Manu, etc.) and a definite flow of sympathetic resonance is manifest in all its members. (1928a, 6, emphasis original)

The dissonant order, on the other hand, replaces the gravitational pull of the fundamental with a striving toward Tone. Individual tones “seek the interpenetrative condition of dissonant chords—Icpleromas of sounds. These are limited in content; each has its own principle of organization, which determines the tone of the pleroma” (Rudhyar 1982, 145). These particular pleromas can then be recombined into more complex pleromas. “Dissonances are synthesized [sic] into harmonic resonances which are relatively consonant on the spiritual plane, i.e., in the subjective consciousness of the hearer. These higher consonances break again into higher dissonances. There is no definite finality to the process” (Rudhyar 1928a, 12). Ultimately, “all these tones ideally commune in the vast Tone of the all-encompassing pleroma of the musical space experienceable by human ears” (Rudhyar 1982, 145). This ultimate pleroma, the totality of musical space, is the cornerstone of another pivotal Rudhyarian concept: “the new sense of space.”

The New Sense of Space

[4.1] According to Rudhyar, there are two ways of conceptualizing musical space: “as an empty container of single, essentially separate musical notes, or as a fullness of tone, a pleroma of sounds. The concept prevalent in Western culture is the first alternative. I am attempting to give a concrete formulation to the latter” (1982, 133). To achieve this “fullness of tone” it is not enough to simply combine lots of big chords or freely amalgamate a diversity of sounds; on the contrary, the lumping together of different sounds is precisely what Rudhyar has in mind when describing the old sense of space: “Today most, and perhaps practically all artists face their canvas or sheet of paper as if it were empty space, i.e., a blank negation, the receptacle into which they have to project more or less at haphazard things invented by them, lines, shadows, colors” (1930b, 4). This adding together of sundry objects recalls the arithmetic relationships of the consonant order (1 + 1 = 2, 2 + 1 = 3, etc.). The new sense of space, on the other hand, achieves fullness by beginning with a whole, which is then partitioned. “Space being the infinite potentiality of forms, has first to be differentiated as a geometrical Form, or proportion. This geometrical Form, or ground-plan, represents the differentiation of space” (Rudhyar 1930b, 11). Thus, the new sense of space calls for a way to geometrically divide the totality of musical space into equal subdivisions. In practice, this manifests as divisions of equal-tempered pitch space into cycles of equal intervals (Rudhyar's dissonant order) such as the interval-class-5 cycle (the circle of fifths), the ic2 cycle (the whole tone scale), the ic3 cycle (the diminished seventh chord), the ic4 cycle (the augmented triad), the ic6 cycle (the tritone), or the ic1 cycle (the chromatic scale). (4)

[4.2] The interval cycle most important to Rudhyar is the cycle of fifths, which by spanning the aggregate implies the totality of musical space. By building chords out of fifths, he saturates the listener’s ear with all twelve tones until “That of which the groups of tones are but differentiated aspects reveals itself. That is to the chromatic tones as white light is to the seven colors. What is it? The psychic reality of Tone” (Rudhyar 1927, emphasis original). Of course, Schoenberg’s twelve-tone technique similarly cycles through the aggregate, but the precompositionally determined tone-row is anathema to Rudhyar with his disdain for extrinsic musical laws. Moreover, Rudhyar’s dissonant harmony, unlike Schoenberg’s, implies voicing in fifths: “the proper spacing of dissonant centers” (Rudhyar 1972). These dissonant centers are not literal pitch-classes but points in musical space that may shift slightly (usually by no more than a half-step) without destroying the overall effect of ic5-based spacing. Explicitly acknowledging that “the fifths of course are not always perfect fifths” (1972), Rudhyar freely mixes perfect, augmented, and diminished fifths. While Rudhyar’s free combination of three different interval classes—ic4 (augmented fifths), ic5 (perfect fifths), and ic6 (diminished fifths)—may seem to contradict his goal of dividing musical space into equal intervals, it is important to remember that his primary concern is not with pitch classes, interval classes, or set classes, but rather with creating a sense of musical space. The overall effect of dividing pitch space into fifths can survive the alteration of individual tones by a half step. The significance of spacing also helps explain Rudhyar’s preference for the ic5 cycle over the similarly dodecaphonic ic1 cycle; the expansive sound of stacked fifths naturally evokes the vast totality of musical space. (5)

Organicism through Seed-tones
[5.1] Let us not forget that despite the grandeur of Rudhyar’s holistic vision of musical space, he hears it originating in single tones. For Rudhyar space is within tones: “Musical space deals not so much with the Form of a symphony or sonata, but with the inner structure of single tones perceived as aural entities, not as abstract visual points on paper” (1930b, 15–26). What gives a single tone significance for Rudhyar is the fact that it is a whole unto itself, with its own intrinsic structure. “Every tone is a complex sound made up of many sonal elements. We may say . . . that every tone is a chord, be it only the chord constituted by its constitutive overtones or in general subtones. But what is a chord if not a sort of spatial arrangement, or ordering, of sonal elements?” (1930b, 23, emphasis original). Furthermore, he argues that the “form in space of the tones (basic chords or even timbre of instruments)” (1930a, 35) can project itself onto the outer space: that is, “the musical score as a pattern made of abstract musical notes” (1930b, 24). This relationship between the inner structure of chords and the outer structure of a composition implies organic form: “forms growing out of Space by an inherent necessity as a plant grows out of seed and soil. . . . It is the constant relating of the many forms to the one Space-substance, seeing forms as parts of an organic whole, with parts fulfilling definite functions within this whole” (Rudhyar 1930b, 6). This organicism manifests as an intrinsic relationship between the harmonic and timbral structure of a composition's basic chords (its inner space) and the pattern of its notes on the page (its outer structure).

[5.2] Rudhyar's notorious anti-formalism, then, is actually an impassioned defense of organic form, although it has often been misinterpreted as a call for formlessness. Anticipating just such a misunderstanding, Rudhyar explains:

Personally, I have used almost exclusively in my music so far what is so badly called atonality. Tonality, in the sense of 'a principle of tone unity,' is to me a requirement. But there are many possible 'principles of unity'; when new ones are used, people, not understanding the new principle, consider that there is nothing but chaos. (1962, 184)

Thus, Rudhyar decree not form itself but rather arbitrary formal laws imposed from the outside: the recycled forms of neo-classicism, the precompositionally determined tone row; or Seeger's rule of di ssontant counterpoint reversing convention to make dissonance normative. Emphasizing that “law and anarchy are inseparable polar opposites” (1975, 179, emphasis original), Rudhyar laments the fact that “we make no difference between a rule imposed from the outside and an inherent or instinctive compulsion to act according to a structural order” (1975, 170, emphasis original). “The important point,” he argues, “is whether or not the ‘system of rules and regulations’ is external to that which is being ruled, or inherent in whatever follows the rules” (1975, 170). Thus Rudhyar's primary formal concern is organismism: the intrinsic relationship between the whole and the parts of a work of art.

[5.3] Rudhyar's organismism suggests an affinity with the ideas of Heinrich Schenker, for whom “the concept of organic coherence” is “inherent in the works of the great masters” and “the very secret and source of their being” (1979, xxi), and the Ursatz (fundamental structure) is “the mark of unity” and “the resolution of all diversity into ultimate wholeness” (1979, 5). Schenker's claim that “the great composers—in contrast to performers and listeners—experienced even their most extended works not as a sum total of measures or pages, but as entities which could be heard and perceived as a whole” (1979, xxiii, emphasis added) strikingly recalls Rudhyar's contrast between the old sense and new sense of space. Just as in Schenker's thought “The overtone series, this vertical sound of nature, this chord in which all the tones sound at once, is transformed into a succession, a horizontal arpeggiation” (1979, 10), in Rudhyar's dissonant harmony, each composition “emerges from a ‘seed-tone’” which is “often, but not necessarily, a chord unfolding its internal potential of resonance into melodic-harmonic roots, stems, and branches” (1982, 197). Relating the notes of Rudhyar composition to its seed-tone may help us understand its structure in the same way that Schenkerian analysis elucidates tonal forms as expansions of their tonic triads.  

[5.4] A composition's seed-tone may be a chord that acts as a dissonant tonic sonority prolonged throughout the piece. However, the notion of prolongation in atonal music has been controversial to say the least, so before delving into Rudhyar's music, a brief digression is in order. This debate has been summarized elsewhere (Baker 1983), so I will limit my discussion to those issues most applicable to Rudhyar's theoretical writings and musical works. Some theorists have claimed that the lack of a distinction between consonance and dissonance precludes the possibility of applying Schenkerian analysis to atonal music. Joseph Straus argues that “unless we know which harmonies are consonant and which are dissonant, we will not be able to determine reliably which notes are structural and which are embellishing Atonal music . . . does not systematically distinguish between consonance and dissonance” (1997, 239; see also Pearsall 1991). To surmount this problem, other analysts have tried to find Ursätze in atonal works, in essence retaining tonal conventions of consonance and dissonance (Baker 1990). Both sides of this debate, however, assume incorrectly that a Schenkerian approach to atonal music requires the preservation of the trappings of tonal music: prolongations, triadic structures, the Ursatz, and a clear distinction between consonance and dissonance. In so doing they elide to the means of Schenkerian analysis while forgetting its end—the demonstration of organic unity. If we are to understand post-tonal music organically, we must discover its own intrinsic laws, not subject it to the laws of tonality.

[5.5] The beauty of Rudhyar's music is that it transcends the thorny issue of distinguishing consonance and dissonance by instead establishing dissonance as a method of harmony. The structure of his music is determined not by the dialectical,
hierarchy-generating functions of consonance and dissonance but by the “inner space” (that is, the intrinsic structure) of a seed-tone sonority serving as dissonant tonic. Investigations into prolongations of dissonant chords (Morgan 1976) often meet the objection that no reliable method exists to identify such a sonority (Baker 1983, 157). While a universal method is indeed unlikely, Rudhyar's theories provide ample clues to reveal seed-tones in his own works.

Identifying Rudhyar's Seed-tones

[6.1] Rudhyar's principles of dissonant harmony suggest two distinct but compatible ways of constructing a seed-tone: (1) building a chord of stacked fifths, which through their geometric relationships exemplify the dissonant order; and (2) combining commensurate overtones from two independent harmonic series (since overtones of a single series are united a priori by the consonant order). The former method is straightforward enough, but the latter requires a more detailed understanding of what I call interpenetrating harmonic series.

[6.2] If dissonant harmony is to combine tones from distinct harmonic series, it must somehow make audible the tones' allegiances to different fundamentals. In our equal-tempered universe, there is no audible difference between, say, one G3 derived from a harmonic series on C2 and another G3 from a series on E♭1. To elucidate the origins of these commensurate overtones, we would need to sound their fundamentals along with G3 itself. The resulting compound sonority, comprising G3, C2, and E♭1, would exemplify dissonant harmony by integrating the C2 series and the E♭1 series via their shared overtone G3. Rudhyar's own writings suggest combining harmonic series in precisely this manner. Envisioning the interaction of two harmonic series as a “continuous mass of high sounds, ebbing ceaselessly as a big sonal ocean,” he observes that, given the right fundamentals,

several of [their] harmonics . . . would coincide. They would therefore be reinforced. In other words the ‘sea of sound’ produced by the blending of these harmonics would not be homogeneous but it would present a certain INNER RHYTHM. The reinforced harmonics would appear as centers of tonal pressure [sic]. A form would outline itself. (1923, emphasis original)

It is this “inner rhythm” which gives interpenetrating harmonic series the intrinsic structure required of a seed-tone.

[6.3] Although interpenetrating harmonic series will generate slight discrepancies in frequency between their commensurate overtones (i.e., the G3 in a C2 harmonic series is only approximately equivalent to the G3 in an E♭1 series), the beats thus generated actually contribute to the “living tones” Rudhyar desires. Explaining the value of this phenomenon, he writes, “Many or most of the chords called dissonant in Western musical theory can generate, when their component sounds are properly spaced, a far more powerful resonance than so-called perfect consonances, because of the phenomena of beats and combination tones” (1982, 143).⁸

[6.4] Applying these reflections on interpenetrating harmonic series, I chart the intersections of overtones for each of the six possible interval-class relationships between two fundamentals (Figure 1). In each case, I seek the lowest pitch class appearing in both harmonic series. Several of these cases—those with fundamentals related by ic5, ic2, and ic4—are trivial because one of the fundamentals appears in the harmonic series of the other below any commensurate overtones (Figure 1a). For example, the lowest pitch class shared by the C2-series and the G3-series is D5 (ninth and third partial, respectively); however, G3 itself appears as only the third partial above C2, overshadowing any opportunity for blending the series via the D5, given its weakness as a higher overtone in relation to the G3. In effect, the consonant order already unites the two fundamentals, precluding any opportunity for dissonant harmony.

[6.5] More fruitful possibilities arise with fundamentals related by ic3, ic6, and ic1 (Figure 1b). The first of these, in which the shared overtone is the third partial of one series and the fifth partial of the other, produces a compound sonority (Figure 1c) which is a first-inversion minor triad (E4 above A2 and C2): a tonal consonance which the harmonically progressive Rudhyar does not conspicuously exploit.

[6.6] The second relationship, in which the fundamentals are related by ic6 and the shared harmonic is the fifth partial of one series and the seventh partial of the other, resembles jazz harmony’s tritone substitution. Scriabin often employs this 5th-/7th-partial sonority in his transitional middle period works (Baker 1980), which may help explain Rudhyar’s admiration for the Russian composer. Moreover, Rudhyar himself exploits the 5th-/7th-partial sonority—for example, in the middle section of “Stars.”

[6.7] The final possible relationship between fundamentals, that of ic1, is perhaps the most characteristically Rudhyarian. The resulting sonority (Figure 1c), consisting of F♭5 as third partial of B3 and eleventh of C2, does not particularly suggest any past composers or vestiges of tonality. Furthermore, this 3rd-/11th-partial sonority anchors the beginnings and endings of several Rudhyar compositions, suggesting that it may indeed function as a seed-tone.

[6.8] In “The Earth Pull,” from Rudhyar’s 1974 set of piano pieces, Second Pentagram (⁹) the 3rd-/11th-partial sonority appears in both the opening (Figure 2) and closing (Figure 3) measures at the same transposition level shown in Figure 1c. In actual compositional practice the individual tones of this sonority sometimes appear in different registers than they do in its
idealized form from Figure 1c; however, the inner rhythm created by the blending of commensurate overtones still occurs because of the recurrence of pcs in higher octaves of the harmonic series (i.e., the sixth partial is an octave above the third partial). Moreover, Rudhyar maintains the integrity of the 3rd-/11th-partial sonority by consistently placing F # above B above C. Although competing harmonic activity and the sonority's brevity mask its significance at the movement's opening, its reappearance at measure 29 lingers until the movement's conclusion twelve bars later, confirming its importance. In the final four measures, the 3rd-/11th-partial sonority is exposed in the outer voices as the movement's ultimate goal. In addition, the inner-voice G2 and D3 contribute the characteristic Rudhyarian sound of quintal harmony.

[6.9] The same sonority resonates throughout the final nine bars of “Sunburst” from the Third Pentagram, amidst foreground fluctuations in the upper voices (Figure 4). As in “The Earth Pull,” the final measures (measures 24–28) feature the 3rd-/11th-partial sonority as part of a stacked-fifth sonority, this time including E as well as G and D. The consistency of Rudhyar’s voicing (F # above B above C) reinforces the importance of the tones’ mutual resonance rather than their identity as a set-class. Alluding to this fact, Rudhyar warns that in his music, “Sound simultaneities may not be susceptible of being transposed or of being sounded in a different register without their tone quality being radically altered” (1982, 143).

[6.10] The presence of this same sonority at the beginnings and endings of several Rudhyar compositions suggests that it is a referential harmony, like the tonic triad of a tonal composition. Combining this observation with the fact that it exemplifies both the 3rd-/11th-partial sonority and quintal harmony, it becomes clear that this sonority must be one of Rudhyar’s seed-tones.

Analysis of “Stars”

[7.1] For a more comprehensive analysis of a movement also based on this 3rd-/11th-partial sonority, we now turn to “Stars,” another short piano piece from the Third Pentagram (see the full score in Appendix 1). Although this movement’s pan-diatonic and altered dominant harmonies may superficially sound more like Debussy than “dissonant harmony,” “Stars” nonetheless exemplifies Rudhyar’s dissonant order because it grows out of a seed-tone of stacked fifths.

[7.2] “Stars” evokes a persistent sense of stasis; a given harmony often seems not to progress but rather to transform into the following harmony. This is because harmonic shifts occur not via the introduction of new chords but through limited transformations of the seed-tone, which are of three characteristic types: (1) the expansion or contraction of the stack of fifths by adding or removing tones from its top or bottom (including simultaneous expansion at one end and contraction at the other, which is essentially transposition); (2) the isolation of subsets of the stack; and (3) the chromatic alteration of a pitch to change two adjoining perfect fifths into an augmented fifth and a diminished fifth.

[7.3] “Stars” begins with a quintal chord in “root position”: a stack of perfect fifths ascending from C2 to F 5, with a gap in the center where A3 would be (see Appendix 1 and Figure 5c). The immediate repetition of this chord (measure 2) establishes it as seed-tone. In accord with Rudhyar’s organicism, its structure foreshadows its subsequent transformations. The outer-voice tritone (C/F #), for example, has lasting motivic significance, defining harmonies throughout the movement, including the C/F # Harmony, the D/G # Harmony, and the Inner Harmony (Figure 5b). Another crucial interval, the major second, is emphasized by the gap which divides the seed tone into two subsets, each bounded by a major ninth (left hand’s C2/D3 and right hand’s E4/F #5), precipitating major second motions in the structural soprano (F # to E to F #) and bass (C to D to C) over the course of the entire movement (beamed as half-notes in Figure 5a).

[7.4] Immediately following the initial downbeat Rudhyar fills the seed-tone’s gap not with the expected A3 but with A ##, tainting the otherwise perfect fifths. This chromatically altered tone sounds like the seventh partial of the bass note C2, especially if we hear G, E, D, and F # as other overtones of C (although some appear in lower registers than they would in the C2 series). The A ##, then, introduces a conflict between the consonant order (the overtone series) and the dissonant order (quintal/quartal harmonies) which occurs throughout Rudhyar’s music. Although his theoretical writings favor the dissonant order, his compositional practice thrives on the creative interplay between these two harmonic idioms. A ## and E create a new tritone which, combined with the outer voice C/F # tritone, lends this harmony a French-sixth flavor, accounting for an aural resemblance to Scriabin’s “mystic chord.” (11) Finally, the seed tone is extended by two more fifths on top, C #6 and G #6, creating another major ninth, this one melodic, from F #5 up to G #5 (Figure 5c). While in measure 2 both tones are above the F #5, extending the literal stack of fifths, in measure 1 G #4 appears in the middle register, foreshadowing later developments in the B-section. Thus, the seed-tone in its initial presentation (measures 1–2) undergoes all three types of transformation outlined above: extension by the C # and G #, partitioning into two subsets spanning C2 to D3 and E4 to F #5, and the chromatic alteration of an implied A ##3 to A #3.

[7.5] From a broader perspective, we see that “Stars” is in ternary form, the B-section starting at the rolled chord in measure 12 and the A’-section beginning with the reappearance of the seed-tone in measure 27 (Figure 5b). The initial A-section is itself a miniature ternary form, featuring a four-bar a-section anchored by the seed-tone on the downbeats of measures 1, 3, and 4. (12) The local b-section (measures 5–9) presents an E-centric melody over a five-eighth-note ostinato which outlines three perfect fifths: B3/F #4, C #5/G #5, and D4/A4. Moreover, this entire section (except the F #5 in measure 8) confines itself to a stack of perfect fifths from D up to G # (labeled the D/G # Harmony in Figure 5b). Thus, the b-section transposes the seed-tone up a major second, as foreshadowed by its extension from F #5 up to G #6 in the opening two measures (Figure...
5c). Since F\#5 replaces the previous phrase's E\#5, it is better understood as an enharmonic equivalent to E\#5, a chromatic alteration of the E\# from the middle of the D/G stack, analogous to the original seed-tone's chromatically altered A\#.

However, while the A\# was intrinsic to the a-section, the F\# is but a momentary inflection of a fundamentally diatonic passage of pure perfect fifths; perhaps a glimpse of unalloyed dissonant harmony.

[7.6] As the seed-tone returns to its original pitch level for the a'-section (measures 10–11), ascending lines recall the harmonies of the b-section, suggesting a synthesis of the C/F\# Harmony and the D/G\# Harmony. This integration, however, is presently thwarted by the conflict between the crowning A\#6 (measure 11) and the continued presence of the A\#3 in the middle register.

[7.7] The movement's B-section is divided into two subsections straddling the climax in measure 22, the first of which is a developmental passage focused on the French-sixth sonority created by the intrusion of the A\#. At first, Rudhyar enharmonically reinterprets the A\#3/E4 tritone from measure 1 as E4/B\#4 pedal tones in the upper voices of the right-hand accompaniment (measures 12–16). This tritone serves as half of two different French-sixth chords: E/B\#4/C/F\# and E/B\#4/D/A\#. At first the bass melody completes the former French sixth by vacillating between C2 and F\#2 (measures 12–17), undermining the consonant-order harmony on C2 (measure 1) by causing it to interpenetrate with the F\#2 harmonic series, thus creating the 5th-/7th-partial sonority. The bass melody's eventual goal, however, is the accented fortissimo D2 in measure 18, which, coupled with the A\#2 in the next measure, creates a new tritone in the bass, this one from the latter French sixth (E/B\#4/D/A\#). The same tritone (D/A\#) appears an octave higher in measures 19–21 as the goal of the right-hand accompaniment's gradual ascent. Between these D/A\# tritones, a tenor melody (measures 19–21) outlines the passage's original tritone via a stepwise ascent from E3 to B\#3 and a leap to E4, completing the second French sixth (D/A\#/B\#4/E), a chord which is not only the harmonic goal of the developmental passage but also a subset of the seed-tone passage's original tritone via a stepwise ascent from E3 to B\#3 and a leap to E4, completing the second French sixth (D/A\#/B\#4/E).

[7.8] As the movement reaches its ***ff*** climax (measure 21), the Inner Harmony is swept away by a 32nd-note flourish (measure 22) constructed from the complementary subset of the seed-tone—that is, precisely those tones **not** in the Inner Harmony: C2, G2, B4, F5, and C6—which I term the Outer Harmony (Figures 5b and 5c). All the tones of the Outer Harmony, like those of the Inner Harmony, appear in the same register here as in measure 1 (although some are also doubled in other registers). This dramatic figure-ground shift from Inner Harmony to Outer Harmony connects the B-section's two halves. Although the Outer Harmony's C2 and G3 appear in the bass a measure-and-a-half early (measure 20) and the Inner Harmony's E4 lingers over the bar as an upward-resolving suspension (measure 22), Rudhyar's clear juxtaposition of two distinct harmonies distinguishes this climax from the movement's prevailing harmonic stasis. Just as the Inner Harmony was the basis for the French-sixth-colored first half of the B-section, the Outer Harmony is the basis of the second half (measures 22–26), a retransition featuring a new five-eighth-note ostinato, now in the right hand.

[7.9] The climax also continues the drama begun with the chromatically altered tone A\# (measure 1). When C2 and G2 reappear in the bass on the third beat of measure 20, just before the climax, they are part of a chord consisting of the bottom four notes of the seed-tone, except that now the A\#3 has been lowered to A\#2. This tone seems to be circling around its eventual goal of A\#3, and the evaporation of the Inner Harmony at the climax eliminates both A\#3 (B\#3) and A\#3, clearing the way for A\#3. The A\# eventually returns an octave lower within another French sixth on the downbeat of measure 26 (recalling the first half of the B-section), in order to finally resolve to A\#4 in the following bar and herald the arrival of the A'-section. The motion from A\#3 to A\#3 to A\#4 appears as beamed half notes in Figure 5a.

[7.10] The A\# lingers throughout the A'-section, to the exclusion of A\# and A\#, resolving the initial conflict between the consonant and dissonant orders in favor of the latter. Furthermore, the new purity of the seed-tone allows it to blend seamlessly into the D/G\# Harmony from the b-section. Whereas the A-section juxtaposes the C/F\# Harmony and the D/G\# Harmony as two contrasting harmonic areas, the A'-section synthesizes them into one continuous stack of 5ths from C to G\# (Figure 5b). The A'-section also integrates the textures of the a-section and the b-section as the latter's five-eighth-note ostinato and the seed-tone reappear simultaneously (measure 29).

[7.11] In the movement's closing bars (measures 33–35), Rudhyar transposes the ostinato's D/A dyad up an octave, bringing out the half-step voice-leading from G\#5 to A5 (Figure 5a), a foreground conceit echoing the enharmonically equivalent background motion from A to A\# which signaled the return of the A-section. Any lingering doubts about the A's significance disappear with its arrival as the final note of the piece (measure 39), spotlighted in the highest register where it blends with the resonance of the original six notes of the seed tone, which are carefully sustained with tiered dynamics (measures 36–38) and tied into the final measure. Thus, the movement concludes with the pure form of the seed-tone (implied in measure 1), purged of any vestiges of the consonant order by its dramatic journey.

**Analysis of Granite, First Movement**

[8.1] The first movement of Rudhyar's 1929 piano suite *Granite* (see Appendix 2) grows out of the interaction of two
which continues through measures 6–7 as the B and E remain audible in the outer voices even while transferred to different positions as the fifth partial of F. The movement’s opening announces the seed-tone by four repetitions of a head motive, which descends from C5 through B3 to the F1/A3 dyad (Appendix 2, measures 1–4, and Figure 6a). Answering the head motive is an ascent through four root-position triads (measures 2–3): A minor, D♭ major, C minor, and A major (Figure 7). Although these chords may at first seem unrelated, they form a logical sequence if we interpret them according to Riemannian harmonic dualism with the chordal fifths as the structural tones of the minor triads and the conventional roots as the structural tones of the major triads. The dualistic perspective seems justified here, given the reciprocal relationship between the overtones and undertones and their importance to this analysis. From the outset, repetition of the F/A dyad prolongs Harmony I as the texture thickens until measure 6, where a quick, cadential succession of chords heralds the arrival of Harmony II on the last chord in measure 6a with its B/E dyad in the outer voices. The repeated-note gesture introduced here announces this moment as the beginning of a new section, one which continues through measures 6–7 as the B/E remain audible in the outer voices even while transferred to different registers. The E is joined by its neighbor D at the end of measure 6, completing the 026 trichord, and the following measure emphasizes their neighbor-note relationship melodically in the soprano.

Harmonic series that function quite differently from the interpenetrating overtone series in “Stars,” “The Earth Pull,” and “Sunburst.” More specifically, two harmonic series unfold simultaneously, recalling the counterpoint between the fundamental line and the bass arpeggiation of a Schenkerian Urtext. Unlike the preceding examples, here one harmonic series ascends from its fundamental through its overtones while the other descends through its “undertones.”

The questionable validity of undertones necessitates a brief digression justifying their place in this analysis. Rudhyar himself calls the physical existence of undertones “a great mystery” which “can probably never be solved except on a philosophical or occult basis” (1928c, 61). One solution to this mystery is offered by Cowell, who explains that although undertones are not directly produced by a sounding body, “it is difficult to avoid them in respiration.” Through resonance, a sounding tone will cause sympathetic vibrations not only in bodies that vibrate at the sounding frequency, but also in those bodies which vibrate at frequencies producing the sounding tone as an overtone; in other words, the undertone series is simply the collection of those frequencies which could serve as a fundamental for the given tone (Cowell 1996, 22). This explanation of undertones parallels Rudhyar’s more poetic conceptualization of undertones “as the very roots of the seed-tones. . . . [A]s the roots are hidden in the soil, likewise we cannot normally detect undertones by means of our mere physical sense of hearing” (1928c, 61, emphasis original). As products of resonance, undertones are a natural tool for Rudhyar since, as we have seen, he understands a composition’s seed-tone as “a chord unfolding its internal potential of resonance” (1982, 197).

In the first movement of Granites, an F1/A3 dyad serving as the seed-tone expands symmetrically through an overtone series on A and an undertone series on F. Rudhyar’s voicing of this dyad takes advantage of the two tones’ mutual resonance, with A3 positioned as the fifth partial of F1. The movement’s opening announces the seed-tone by four repetitions of a head motive, which descends from C5 through B3 to the F1/A3 dyad (Appendix 2, measures 1–4, and Figure 6a). Answering the head motive is an ascent through four root-position triads (measures 2–3): A minor, D♭ major, C minor, and A major (Figure 7). Although these chords may at first seem unrelated, they form a logical sequence if we interpret them according to Riemannian harmonic dualism with the chordal fifths as the structural tones of the minor triads and the conventional roots as the structural tones of the major triads. The dualistic perspective seems justified here, given the reciprocal relationship between the overtones and undertones and their importance to this analysis. From the dualistic perspective, the series of four triads in measures 2–3 reduces to E, D♭, G, and A (Figure 7). Enharmonically recasting the D♭ as C♯, all four of these tones appear within the first three octaves of an ascending harmonic series on A, which is sustained below them as part of the seed-tone. These four triads foreshadow the seed-tone’s subsequent expansion through symmetrical harmonic series.

8.4 The head motive also establishes B as upper neighbor to A; however, the music that follows creates considerable ambiguity as to which tone is structural and which is embellishing. The three tones F, A, and B form an 026 trichord, which acts as a referential set-class appearing at various transposition levels both within individual chords and over longer spans of music. It almost always appears, though, in the same “inversion,” with a major third and a tritone above the bass.

The odd partials of the two harmonic series growing out of the F and A in contrary motion (Figure 6c) create a series of four dyads (F/A, B♭/E, C♯/G, and E♭/G), each of which is either a major third or a tritone (Figure 6b). Since both of these intervals appear in 026, Rudhyar is able to project that set onto each dyad by adding a major-second neighbor to its soprano tone, mimicking the B’s role in relation to the seed-tone’s A. These four transpositions of the 026 trichord appear in Figure 6c as Harmonies I, II, III, and IV; (14) Each Harmony controls a section of several measures, and each progression to a new Harmony is announced by a significant arrival on a large chord.

8.6 From the outset, repetition of the F/A dyad prolongs Harmony I as the texture thickens until measure 6, where a quick, cadential succession of chords heralds the arrival of Harmony II on the last chord in measure 6a with its B♭/E dyad in the outer voices. The repeated-note gesture introduced here announces this moment as the beginning of a new section, one which continues through measures 6–7 as the B/E remain audible in the outer voices even while transferred to different registers. The E is joined by its neighbor D at the end of measure 6, completing the 026 trichord, and the following measure emphasizes their neighbor-note relationship melodically in the soprano.

8.7 The accented chord on the downbeat of measure 8 marks the beginning of Harmony III with the C♯/G dyad in its outer voices. Unlike the tones in Harmonies I and II, the G and C♯ (D♭) are each present in both the overtones of A and the undertones of F. This dual membership is reflected in Rudhyar’s more flexible treatment of this dyad in comparison with the F/A and B♭/E dyads, which were never inverted; although the arrival chord (measure 8) places a G octave above a C♯ octave, G often appears below (C♯ in the music that follows (measures 8a–8b)—a fact represented as a voice-exchange in Figures 6a and 6b. Congruous with this dyad’s invertibility is the fact that both tones receive a major-second neighbor; the C♯ is coupled with B in measures 8a–8b, and the G is replaced by F in measures 8c–8d. In the latter case, Harmony III is still audible through the relatively thick chords because of the repeated F and D♭ in the top voices.

8.8 These repeated chords build up to a new arrival point on the downbeat of measure 9, marking the arrival of Harmony IV. This chord immediately creates some ambiguity as to whether A or G is the structural soprano note over the bass E♭. Although A is emphasized by registral position and octave doubling (as A4 and A5), it seems to resolve to G3 in the tenor
voice whose B♭-A–G descent echoes the head motive from measure 1. Conversely, the subsequent hammering of the G5 in the soprano (measures 9b–c) hides the fact that A4 is still present as an inner voice. With neither G nor A predominating, Harmony IV functions more like a simultaneity.

[8.9] Beyond Harmony IV, the two harmonic series coincide on B (C♯) (Figure 6c), which generates not a new harmony, but rather a return to Harmony I. Like Harmony IV, Harmony I operates vertically when it subsequently returns in the low, thick chord on the downbeats of measure 10 and the following six sub-measures, fusing together the F, A, and B of Harmony I for this recapitulatory concluding section (measures 10–12). The added presence of E in this sonority transforms Harmony I into a chord of stacked fourths, yet another example of a segment of the cycle of fifths serving as a harmonic goal in a Rudhyar composition. Furthermore, this new voicing of Harmony I places A3 above B2, creating an instance of the 5th-/7th-partial sonority (the A serving as fifth partial of F and seventh partial of B). Despite the blending of A and B in this low-register chord, an interrupted form of the head motive announces the B in double octaves, confirming its new significance as the intersection of the harmonic series on F and A (Figure 6c).

[8.10] The movement’s final two sub-measures dramatize the competition between the A and the B via a descending minor seventh which emphasizes the A registrally but the B agogically (measures 12b–12c). Ultimately, however, neither tone emerges as the victor, for the goal of the movement is the fusion of the B with the seed-tone’s F and A, in both of whose harmonic series it claims membership—a perfect example of Rudhyar’s creation of Tone through integration.

Conclusion

[9.1] As illustrated by the foregoing analyses, Rudhyar’s music does not employ the dissonant order to the exclusion of the consonant order but rather thrives on the creative interplay between these two harmonic idioms. This is consistent with Rudhyar’s notorious disdain for formal systems; ultimately the dissonant order is supposed to foster a new kind of listening rather than serve as a systematic method of composition. With this in mind, it should be clear that my analyses do not attempt to demonstrate an all-encompassing system behind Rudhyar’s musical language; yet it is my hope that they do reveal a genuine integrity in Rudhyar’s music underlying its more obvious mutability. It should be readily apparent that the two works discussed in detail, “Stars” and the first movement of Granites, employ quite different strategies of musical structure. Yet some similarities are also apparent: the use of quintal or quartal chords as referential harmonies and the exploitation of dissonance is not only a unique expression of his personal philosophy but—on the contrary—also a bond between him and other modernist harmonic innovators.

[9.2] An even more important similarity, however, is the presence in both works of a seed-tone—a unifying structure which I would argue is essential to Rudhyar’s goal of creating in the listener the experience of Tone. It is only by learning to hear seemingly dissonant tones as part of such an integrated harmonic kernel that listeners begin to pursue dissonance as a spiritual discipline, and it is by unfolding the inner form of such a seed-tone into the notes of composition that Rudhyar’s music “teaches” listeners this new way of hearing. While theoretical problems remain with the notion of dissonant prolongation, Rudhyar’s music overcomes these hurdles not only through his sensitive engagement with the intervallic structure and resonance of his seed-tones but also through brute force repetition of the seed-tone and the formal brevity of his compositions. The aphoristic quality of the pieces analyzed here is by no means exceptional. Whether or not a seed-tone could serve as dissonant tonic for a larger movement with greater harmonic departures remains an open question.

[9.3] Rudhyar’s association of dissonance with spirituality may seem surprising given the prevailing assumptions linking dissonance with academic dodecaphony (i.e., Milton Babbitt, Pierre Boulez) and spirituality with consonant, diatonic harmony (i.e., Arvo Pärt, John Tavener). Thus it is worth recalling that among early twentieth-century pioneers in atonality, such a spiritual perspective was widespread. Scriabin’s mysticism is well known, but let us not forget that Schoenberg compares twelve-tone space with “Swedenborg’s heaven,” and, in works strikingly reminiscent of Rudhyar’s, declares that “the unity of musical space demands an absolute and unitary perception” (1984, 223, emphasis original). Similarly, Olivier Messiaen’s music not only expresses his Roman Catholic faith, but through its “modes of limited transposition” evokes, in the composer’s own words, “a certain effect of tonal ubiquity,” recalling Rudhyar’s Tone; moreover, Messiaen’s desire to lead his listener to a “theological rainbow” (1956, 21, emphasis original) parallels Rudhyar’s claim that “the psychic reality of Tone” is “to the chromatic tones as white light is to the seven colors” (1927). Regardless of Rudhyar’s marginalization, his spiritual vision of dissonance is not only a unique expression of his personal philosophy but—on the contrary—also a bond between him and other modernist harmonic innovators.

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Works Cited


**Discography**


**Footnotes**

1. One also thinks of Rudhyar's countryman Satie in this vein, although his sonic palette could hardly be more different from Rudhyar's.

2. Ertan 2009 analyzes Rudhyar's “Solitude” (the second movement of *Tetragram No. 5*) (34-40), *Theurgy* (95–100), *Three Melodies* (112–17), and *Transmutation* (124–28).

3. An arithmetical series is one in which each term is generated by adding a constant to the preceding term; in the case of the overtone series, that constant is the frequency of the fundamental. A geometrical series, on the other hand, is one in which each term is generated by multiplying the preceding term by a constant; in the case of the cycle of fifths, that constant is 3:2, the ratio between the two tones of a perfect fifth. I have used here the term “cycle of fifths” instead of the more familiar “circle of fifths” because the latter implies the functional progressions of tonality, which are not what Rudhyar has in mind when he describes these “Zodiacs of Sound.”

4. This is perhaps why Rudhyar claimed that there was an “indisputable line of musical inheritance” between himself and Liszt (1973a, 58), given the prevalence of interval cycles (notably diminished seventh chords and augmented triads) in the Hungarian composer's late music (i.e., “Nuages Gris,” “Die Trauer-Gondel I,” “Unstern!”). In addition, Rudhyar's desire to divide up musical space into equal intervals is precisely what happens in equal temperament itself—a fact that ameliorates the contradiction between Rudhyar's desire to overthrow “standardized Western tunings” and his continued reliance on equal temperament.

5. Consider also the analogous case in third-based harmony; chords freely mix ic3 (minor thirds) and ic4 (major thirds) without eroding the sense of tertian harmony.

6. Although Taruskin has written off as “chimerical” attempts to explain Scriabin's “mystic chord” as a “construction of fourths” since two of the fourths are augmented and one is diminished (1997, 342), this explanation of the Russian composer's harmony may merit reconsideration in light of Rudhyar's admiration for Scriabin and his quintal harmony mixing augmented, diminished, and perfect fifths.

7. Despite the commonalities in their visions of organic unity, Rudhyar and Schenker are at odds regarding its proper source. Rudhyar's quest for dissonant harmony opposes Schenker's glorification of the consonant order: a Rudhyarian concept which neatly describes Schenker's derivation of the *Ursatz* from the harmonic series as well as his belief that “The origin of every life, whether of nation, clan, or individual, becomes its destiny” (1979, 3).

8. Similarly, Rudhyar's frequent use of major sevenths and minor ninths also serves “to induce strong beat tones and sharpen the impact of a tone within a melodic line,” according to his widow Leyla Rudhyar Hill (Ertan 2009, 151).

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9. Rudhyar’s *Second Pentagram* is part of a series of four Pentagrams that reorganize the movements of his 1931 score *Moments* (Nevins 1993, 164–66).

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10. It is worth recalling here that Rudhyar, at the tender age of 17, when his name was still Daniel Chennevière, authored a book on Debussy, *Claude Debussy et son œuvre* (Chennevière 1913).

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11. Taruskin explains Scriabin’s “mystic chord” as a variant of the French sixth, “the most basic Scriabin harmony of all” (1997, 342).

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12. I use lowercase here to distinguish the sections of this local ternary form (a-section, b-section) from the sections of the entire movement (A-section, B-section).

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13. Cowell, too, interprets triads dualistically: “If undertones as well as overtones are hypothetically taken as a working basis, the richness of polyharmonic material that can be formed by the combination of relationships with inexorable logic is surprising, for the reason that every tone may be made the base of both major and minor triads. Thus, on the overtones of C we can base the major triad of C, and on the undertones we can base a minor chord, called by its lowest tone, F minor” (1996, 27).

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14. Roman numerals here simply indicate order of appearance rather than tonal functions.

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15. Rudhyar is fond of subdividing measures via dashed barlines. I append lowercase letters to measure numbers (i.e., “measure 8a” for the first sub-measure of measure 8, “measure 9c” for the third sub-measure of measure 9, etc.) to indicate these sub-measures.

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