



### MTO 16.2 Examples: Caplin, Beethoven's "Tempest" Exposition

(Note: audio, video, and other interactive examples are only available online)

<http://www.mtosmt.org/issues/mto.10.16.2/mto.10.16.2.caplin.php>

**Example 1.** Beethoven, Piano Sonata in D minor ("Tempest"), op. 31, no. 2, i, measures 1—42

measures: [1–12](#) | [13–22](#) | [23–34](#) | [35–42](#)

**Main Theme**  
thematic intro. antecedent basic idea contrasting idea

**Largo.** **Allegro.** **Adagio.**

*pp* *p* *cresc.* *sf* *p*

d:  $v^6$  I V HC

thematic intro. continuation model sequence

**Largo.** **Allegro.**

*pp* *p* *cresc.*

$v^6/III$  III

13

*f* *sf* *sf*

Transition  
 Presentation  
 compound basic idea

18

*f* *p*

PAC  
 (elided)

23

contrasting idea compound basic idea (repeated)

*f* *p*

27

Continuation fragmentation

*f* *sf*

31

*f* *f*

35

Musical score for measures 35-38. The piece is in 2/4 time with a key signature of one flat (B-flat). Measure 35 features a treble clef with a melody of eighth notes and a bass clef with a simple accompaniment. Measure 36 has a dynamic marking of *f* and a key signature change to two sharps (F# and C#). Measure 37 continues with the melody and accompaniment. Measure 38 has a dynamic marking of *f* and a key signature change to three sharps (F#, C#, and G#).

Subordinate Theme #1

39

Musical score for measures 39-42, labeled "Subordinate Theme #1". The piece is in 2/4 time with a key signature of one flat (B-flat). Measure 39 has a dynamic marking of *f*. Measure 40 has a dynamic marking of *f*. Measure 41 has a dynamic marking of *fp* and a key signature change to three sharps (F#, C#, and G#). Measure 42 continues with the melody and accompaniment. Below measure 41, there is a performance instruction: "a: V" and a box containing "HC".

Example 2. Beethoven, Piano Sonata in C, op. 2, no. 3, i, measures 1—16

**Main Theme**  
presentation continuation

**Allegro con brio** basic idea %

continuation (repeated) Transition compound basic idea b.i.

IAC PAC (elided)

Example 3. Beethoven, Piano Sonata in C minor (*Pathétique*), op. 13, i, measures 10—30

measures: 10–17 | 18–30

[Slow Introduction]

Musical score for the Slow Introduction, measures 10–30. The score is in C minor and 3/4 time. It features a long, sweeping melodic line in the right hand, starting with a sixteenth-note figure and ending with a fermata. The left hand provides a simple accompaniment. The piece concludes with a fermata and the instruction *attacca subito il Allegro:*.

Main Theme

Allegro di molto e con brio

Musical score for the Main Theme, measures 11–17. The tempo is *Allegro di molto e con brio*. The music is in C minor and 3/4 time. It begins with a piano (*p*) dynamic and features a rhythmic accompaniment in the left hand. The right hand has a melodic line with some chromaticism. The piece ends with a *cresc.* marking.

PAC  
(elided)

Transition (part 1)

Musical score for the Transition (part 1), measures 18–24. The music is in C minor and 3/4 time. It features a rhythmic accompaniment in the left hand and a melodic line in the right hand. The piece begins with a piano (*p*) dynamic and ends with a *cresc.* marking.

PAC  
(elided)

standing on the dominant

Musical score for the Transition (part 2), measures 25–30. The music is in C minor and 3/4 time. It features a rhythmic accompaniment in the left hand and a melodic line in the right hand. The piece begins with a piano (*p*) dynamic and ends with a *ff* dynamic. The right hand has a melodic line with some chromaticism.

HC

**Example 4.** Beethoven, Piano Sonata in D minor (*Tempest*) op. 31, no. 2, i, measures 39—66

measures: [39–52](#) | [53–66](#)

[Transition]

Subordinate Theme #1  
Standing on the dominant ⇒  
Presentation

compound basic idea

Musical score for measures 39-42. The piece is in G major (two sharps). Measure 39 starts with a forte (*f*) dynamic. Measure 41 features a fortissimo (*ff*) dynamic. Measure 42 includes a 'V ped.' instruction and a boxed 'HC' label.

Musical score for measures 43-47. Measure 43 includes a 'c.b.i. (rep.)' instruction. The music continues with a consistent rhythmic pattern.

Continuation

Musical score for measures 48-52. Measure 48 includes a 'cresc.' instruction. Measure 52 features a forte (*f*) dynamic.

Musical score for measures 53-55. Measure 55 is labeled 'Cadential' and includes a boxed 'I<sup>6</sup> E.C.P.' label. The music concludes with a forte (*f*) dynamic.

Musical score for measures 60-63. Measure 60 starts with a forte (*f*) dynamic. Measure 61 includes a 'decresc.' instruction. Measure 62 starts with a piano (*p*) dynamic. Measure 63 includes a boxed 'PAC' label. The music concludes with a forte (*f*) dynamic. Roman numerals IV, V, and I are indicated below the bass line.

**Example 5.** Reconstruction of end of transition and beginning of subordinate theme 1, played by Janet Schmalfeldt

measures: 39–45 | 46–53 | 54–61

[Transition]  
[continuation]

39 *sf* *sf* *ff* *ff* standing on the

42 dominant *dim.* Subordinate Theme 1 *p*

46 compound basic idea (tonic version) *p*

50 compound basic idea (dominant version) *cresc.* *p*



continuation

54

*f.*

V<sup>9</sup>

cadential

58

*sf*

I<sup>6</sup> E.C.P.

Example 6. Beethoven, Piano Sonata in D minor (*Tempes*), op. 31, no. 2, i, measures 60—88

measures: [60–72](#) | [73–82](#) | [83–88](#)

Subordinate Theme #2  
presentation

basic idea

60

*sf* *decresc.* *p* *sf* *sf*

63

PAC

67

*sf* *ff* *p* *cresc.*

72

I<sup>6</sup> E.C.P.

closing section (?)  
codetta

73 74 75

II<sup>8</sup> V I (?)  
PAC (?)

cod. (rep.)

78

fragmentation

83 85 87

*p cresc.*

**Example 7.** Analysis of second subordinate theme, from Schmalfeldt 1995, 66, ex. 4

The image displays a musical score for the second subordinate theme (ST 2) of a piece, consisting of two staves: a treble clef staff on top and a bass clef staff on the bottom. The score is annotated with various musical and analytical markings.

- ST 2 codettas**: An arrow points to the beginning of the theme at measure 63.
- pres.**: A box labeled 'pres.' is located at the start of the bass staff.
- contin.**: A bracket labeled 'contin.' spans measures 63 through 72, with fingerings 5, 4, 3, 2 indicated above the notes.
- cadential**: A bracket labeled 'cadential' spans measures 73 through 82, with fingerings 5, 4, 3, 2 indicated above the notes.
- Chord Symbols**:
  - [AC]**: A box labeled '[AC]' is at the beginning of the bass staff.
  - [EC]**: A box labeled '[EC]' is below the bass staff at measure 73.
  - [V7]**: A box labeled '[V7]' is below the bass staff at measure 74.
  - [PAC]**: A box labeled '[PAC]' is at the end of the bass staff.
- Other Annotations**:
  - A large 'V' symbol is placed above the treble staff at measure 73.
  - A double bar line with a repeat sign and '4mm' is located between measures 82 and 83.
  - The number '83' is written above the treble staff at the start of measure 83.
  - Measure numbers 63, 73, and 83 are clearly marked.
  - Various other markings include 'i', 'ii 6 5', and '(EC)', '(EC?)', and '(PAC)'.

Example 8. Reconstruction of cadence at measure 75, played by Janet Schmalfeldt

[Subordinate Theme 2]

closing section  
codetta

The first system of the musical score consists of two staves: a treble clef staff and a piano (p) staff. The treble clef staff begins with a *cresc.* marking and contains a melodic line with a slur over the final two measures. The piano staff contains a bass line with a slur over the final two measures. Roman numerals are placed below the piano staff: II<sup>♯</sup> under the first measure, V under the second measure, I PAC (elided) under the third measure, and (V<sup>7</sup>) under the fourth measure. A bracket above the treble clef staff spans the last two measures, labeled "closing section" and "codetta".

The second system of the musical score consists of two staves: a treble clef staff and a piano (p) staff. The treble clef staff contains a melodic line with a slur over the first two measures. The piano staff contains a bass line with a slur over the first two measures. Roman numerals are placed below the piano staff: I under the first measure, (V) under the second measure, I under the third measure, (V<sup>3</sup>) under the fourth measure, I<sup>6</sup> under the fifth measure, and (V) under the sixth measure. A boxed "PAC" annotation is placed below the piano staff under the fifth measure, with "(elided)" written below it.