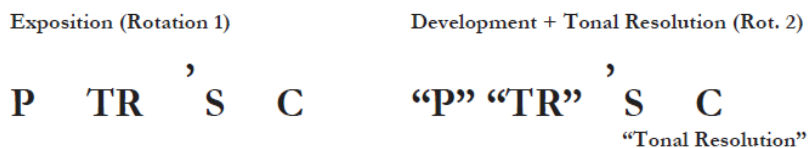


MTO 21.4 Examples: Aziz, The Evolution of Chopin’s Sonata Forms

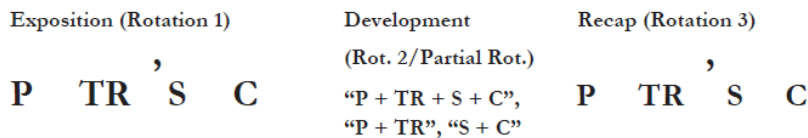
(Note: audio, video, and other interactive examples are only available online)
<http://www.mtosmt.org/issues/mto.15.21.4/mto.15.21.4.aziz.php>

Figure 1a. Type 2 vs. Type 3 Sonatas

Type 2



Type 3



P = Primary
 TR = Transition
 S = Secondary
 C = Closing
 ' = MC

Figure 1b. Summary of Formal Events

| Piece (1st mvmt.) | S? | Exposition goal key | Recap /tonal res. goal key |
|-------------------|-------------------|---------------------|----------------------------|
| Op. 4 | None (RFC, no MC) | i | v |
| Op. 8 | None, MC included | i | v |
| Op. 11 | Yes | I | III, i |
| Op. 21 | Yes | III, v | III, i |
| Op. 35 | Yes | III | I |
| Op. 58 | Yes | III | I |
| Op. 65 | Yes | III, v | I, i |

MC = Medial Caesura
 RFC = Resetting of the Formal Compass

Example 1a. Chopin Ballade No. 4, op. 52, 'Apotheosis' in D \flat , prepared by V/B \flat

V/S S

79 *riten.* *a tempo*

83 *dolce*

Example 1b. Chopin Ballade No. 4, op. 52, Second Theme in D \flat , prepared by V/B \flat

V/B \flat

167

169 Db! *in tempo.* *leggiero.*

Example 2a. Chopin Ballade No. 1, op. 23, TR₃ + S

TR₃

55

59

63

69

calando

smorz.

dim. e ritenuto

Meno mosso. sotto voce

pp

Enharmonic reinterpretation of F#

Eb: PD

V7

I (as in primary theme)

Example 2b. Chopin Ballade No. 1, op. 23, E \flat apotheosis

Musical score for Chopin Ballade No. 1, op. 23, E \flat apotheosis, measures 163-169. The score is written for piano and consists of three systems of two staves each (treble and bass clef). Measure 163 features a long melodic line in the treble clef with a slur and a fermata, and a bass clef accompaniment with a *rit.* marking. Measure 166 is marked *ff* and features a complex texture with chords in the treble and a rhythmic accompaniment in the bass. Measure 169 continues the complex texture with intricate chordal patterns in the treble and a rhythmic accompaniment in the bass. The score includes various musical notations such as slurs, fermatas, and dynamic markings.

Example 3. Schubert, Sonata in G, D. 894, 1st mvt., beginning of exposition

pp

P (Webster's A)

5

mf p pp

9

sp ppp

TR? (Webster's B)

RFC

13

16

fp decresc. pp

P reinstated

Example 4. Schubert, Sonata in B \flat , D. 960, 1st mvt., beginning of exposition

18 TR \flat (Webster's "B")

22

25

28

31 RFC (creates formal backtrack)

34 P reinstated ("A")

Figure 2. Exposition and Recapitulation of op. 4 and op. 8

| EXP | | | | | | | | | | R | | | |
|----------|---|------|----------|---|-------------|--------------------|-----|----------|---|------|----|---------------------------------------|----|
| P1 | | P2 | | | | TR | | | | RFC! | | P1 | |
| | | Ant. | Cons. | | | Attempt → No S, C? | | | | | | | |
| 1 | | 17 | 31 | | | 43 | 47 | | | 55 | 63 | 82 | 17 |
| Sentence | | HC | Sentence | | HC Sentence | | IAC | Sentence | | PAC | | PAC | Se |
| 4 | 4 | 8 | 4 | 4 | 6 | 4 | 4 | 4 | 4 | 4 | | | Bl |
| C Min | | | | | | | | | | | | G Min? Ab Maj? G Min? E Min! → C MIN! | |

| EXP | | | | | | | | | | REC | | | | |
|-----------------|---|--------------|--|-------|--|-------------|-----|----------------|-----|-------|-----|-----------|-----------|-----|
| P | | [Ant. Cons.] | | | | TR | | MC | | P | | TR | | |
| | | | | | | | | V No S, C? | | | | | | |
| 1 | | | | | | 29 | 33 | 39 | 43 | 71 | | 136 - 163 | 164 | 166 |
| Nested Sentence | | (HC) | | PAC | | PAC | PAC | HC | Eb? | | PAC | N.S. | PAC | 1 |
| 4 | 4 | 20 (8 + 12) | | 1 1 2 | | 1 1 (2 + 2) | 4 | 4 | 6 | 4 | 10 | 4 | (=1 - 28) | |
| G Min | | | | | | Bb Maj | | G min → G MIN! | | G Min | | B | | |

Example 5a. Chopin Piano Sonata op. 4, 1st mvt., exposition, transition

TR Attempt

43

48

53

legato

* * * * *

S Denied! RFC

Example 5b. Chopin Piano Sonata op. 4, 1st mvt., recapitulation, transition

TR Attempt

191

Musical notation for measures 191-195. The system consists of two staves, treble and bass clef. The key signature has two flats (B-flat and E-flat). The music features a complex texture with many beamed sixteenth notes and chords. A dynamic marking of mf is present above the first measure. A fermata is placed over the final measure of this system.

196

Musical notation for measures 196-200. The system consists of two staves, treble and bass clef. The key signature has two flats. The music continues with complex textures and many beamed sixteenth notes. A dynamic marking of mf is present above the first measure. A fermata is placed over the final measure of this system.

= m. 55 from exposition

201

Musical notation for measures 201-205. The system consists of two staves, treble and bass clef. The key signature has two flats. The music continues with complex textures and many beamed sixteenth notes. A dynamic marking of mf is present above the first measure. A fermata is placed over the final measure of this system.

Example 6a. Chopin Piano Trio, op. 8, 1st mvt., exposition, transition

34 (TR, from m. 29)

Musical score for measures 34-36. The system consists of four staves: two for the vocal line (soprano and alto) and two for the piano accompaniment (treble and bass). The piano part features a complex texture with sixteenth-note patterns in the right hand and chords in the left hand. Dynamic markings include *p* and *cresc.* (crescendo).

37

Dominant Lock

Musical score for measures 37-39. The system consists of four staves. The piano part has a prominent sixteenth-note figure in the right hand and a bass line with chords in the left hand. Dynamic markings include *f*, *ff*, and *con forza*. The label "Dominant Lock" is placed above the vocal staves.

40

MC

Musical score for measures 40-42. The system consists of four staves. The piano part continues with the sixteenth-note figure in the right hand and chords in the left hand. Dynamic markings include *decresc.* (decrescendo) and *cresc.* (crescendo). The label "MC" is placed above the vocal staves.

43

S? No!

Musical score for measures 43-45. The system consists of four staves. The piano part features a sixteenth-note figure in the right hand and chords in the left hand. Dynamic markings include *p*, *f*, and *fz*. The label "S? No!" is placed above the vocal staves.

Example 6b. Chopin Piano Trio, op. 8, 1st mvt., recapitulation, transition

168

Musical score for measures 168-170. The score is in D minor and 3/4 time. It features three staves: two for the outer voices (Violin and Viola) and one for the Piano. The Piano part has a complex texture with sixteenth-note patterns. Dynamics include *p dolce*, *poco cresc.*, and *p*. A rehearsal mark **15** is present at the end of measure 170.

171

D minor!

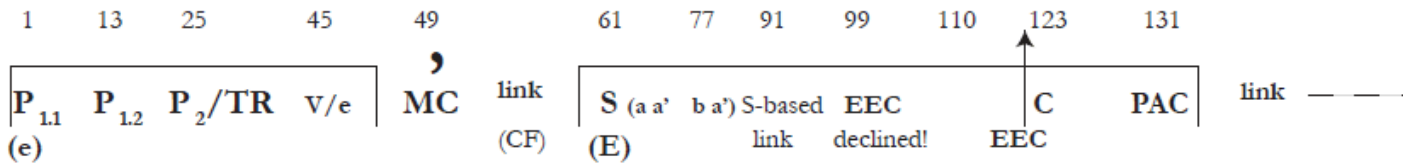
Musical score for measures 171-173. The score continues in D minor. It features three staves: two for the outer voices and one for the Piano. The Piano part continues with its characteristic sixteenth-note texture. Dynamics include *p* and *cresc.*

174

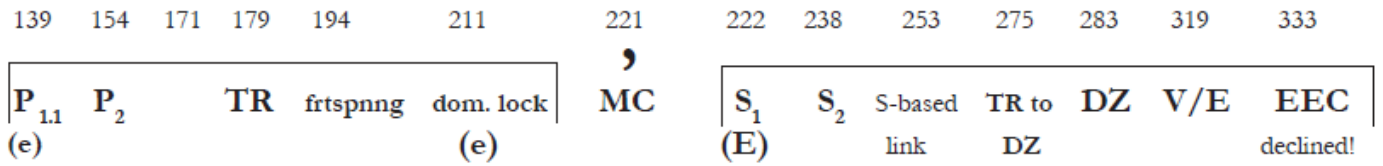
Musical score for measures 174-176. The score continues in D minor. It features three staves: two for the outer voices and one for the Piano. The Piano part continues with its characteristic sixteenth-note texture. Dynamics include *f* and *Red.* (Reduction). Rehearsal marks **15** and **16** are present at the end of measures 175 and 176 respectively.

Figure 3. Chopin Piano Concerto No. 1 in E minor, op. 11, 1st mvt.

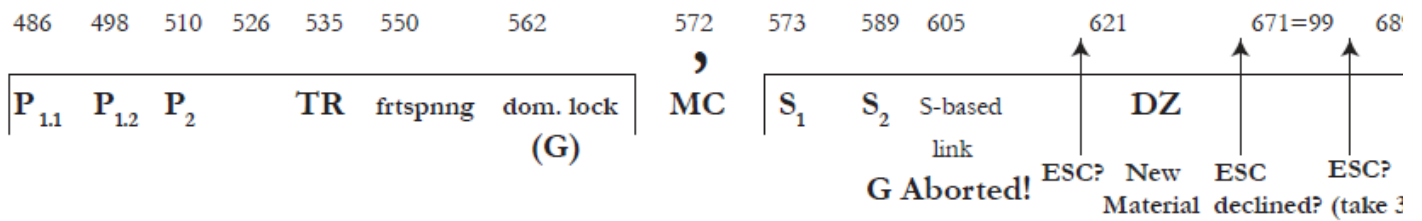
Rit 1 (Orch. Exposition)



Solo 1 (Piano Exposition)



Recapitulation -- Rit 3 (486-509)/Solo 3



Example 7a. Chopin Piano Concerto No. 1, op. 11, 1st mvt., orchestral exposition, end of TR and S

49

56

end of TR → S

63

Example 7b. Chopin Piano Concerto No. 1, op. 11, 1st mvt., solo exposition, end of TR and S

215 *dimin.*

218 *cresc.*

221 *con forza* *passionato* *p dolce*

224 *con espress.* *legato*

The musical score consists of four systems of piano music. The first system (measures 215-217) features a melodic line in the right hand with a dynamic marking of *dimin.* and a fermata over the final measure. The second system (measures 218-220) shows a melodic line with a *cresc.* marking and a fermata. The third system (measures 221-223) includes a *con forza* marking, a *passionato* marking, and a *p dolce* marking, with a fermata. The fourth system (measures 224-226) begins with *con espress.* and *legato* markings, followed by a fermata. The score includes various fingering numbers (1-5) and articulation marks like asterisks and slurs.

Example 7c. Chopin Piano Concerto No. 1, op. 11, 1st mvt., recapitulation, end of TR and S

564

567

570

dimin. *cresc.*

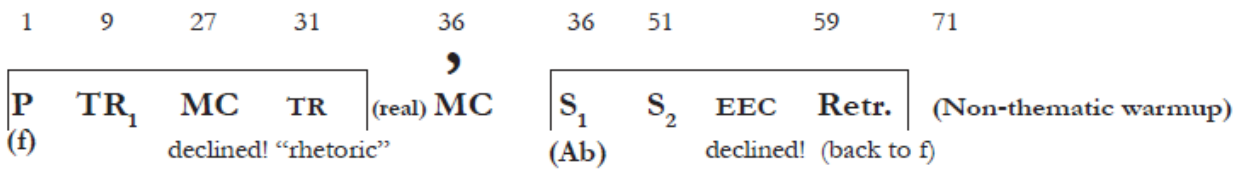
ff *fz* *rall.* *a tempo* *dolce con espr.*

G major!

This musical score shows the end of the Trill (TR) and Scale (S) passages in the recapitulation of the first movement of Chopin's Piano Concerto No. 1, op. 11. The score is divided into three systems of measures: 564-566, 567-569, and 570. The first system (measures 564-566) features a trill in the right hand, marked with a '3' and a '2', and a scale in the left hand. The second system (measures 567-569) continues the trill and scale, with the right hand marked with a '5' and a '4'. The third system (measure 570) shows the final notes of the trill and scale, with the right hand marked with a '5' and a '4'. The score includes dynamic markings such as *dimin.*, *cresc.*, *ff*, *fz*, *rall.*, and *a tempo*, as well as performance instructions like *dolce con espr.* and *G major!*. The score is written in G major and 3/4 time.

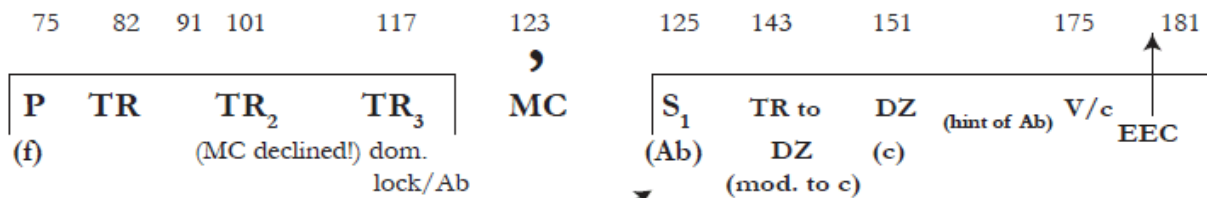
Figure 4. Chopin Piano Concerto No. 2 in F minor, op. 21, 1st mvt.

Rit 1 (Orch. Exposition)



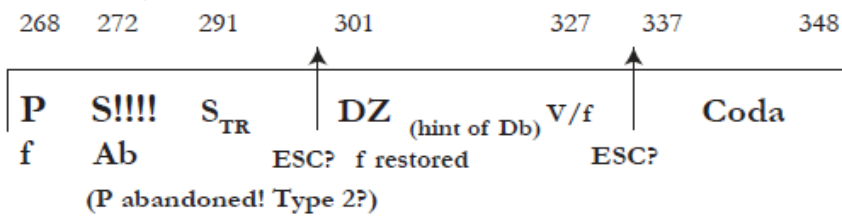
R

Solo 1 (Piano Exposition)



(ar

Solo 3 (Recap)



Example 8a. Chopin Piano Concerto No. 2, op. 21, 1st mvt., orchestral exposition, end of TR and S

28

31

36

S, Ab major

dolce e legato

ff

p

f

Example 8b. Chopin Piano Concerto No. 2, op. 21, 1st mvt., solo exposition, end of TR and S

120

poco riten.

p

123 MC

S con anima

Example 8c. Chopin Piano Concerto No. 2, op. 21, 1st mvt., recapitulation [P⇒S]

264 SOLO. *sosten.*

270 *poco riten.* *p* *a tempo* *con anima* *dolciss.*

274 *riten.*

The image shows a musical score for the recapitulation of the first movement of Chopin's Piano Concerto No. 2, Op. 21. It consists of three systems of music, each with a treble and bass clef staff. The first system (measures 264-269) features a 'SOLO. sosten.' marking and dynamic markings of *ff*, *f*, and *p*. The second system (measures 270-273) includes 'poco riten.', 'a tempo', 'con anima', and 'dolciss.' markings, along with a 'p' dynamic. The third system (measures 274-278) is marked 'riten.' and contains various fingering numbers and articulation marks like asterisks. The key signature is three flats (B-flat major/C minor).

“Development”

a tempo
p
pp
p
cresc. *ff*
fz

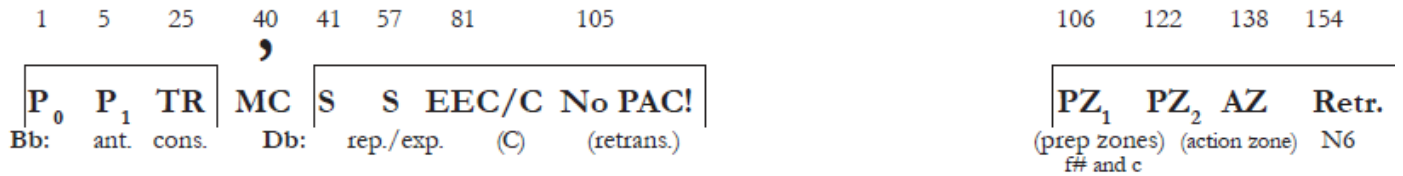
... becomes tonal resolution

Figure 5. Formal Plans of Chopin's Late Sonatas

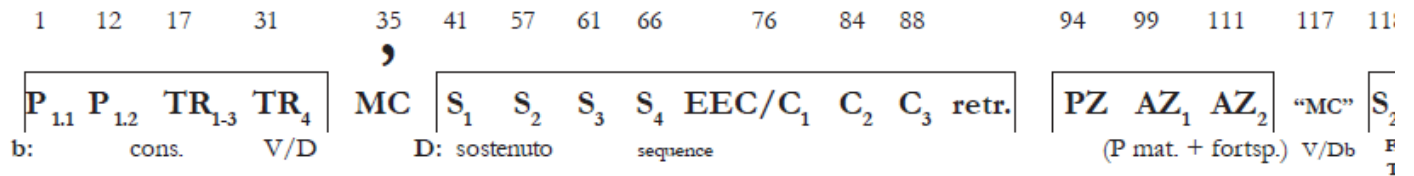
Rotation 1

Rotation 2

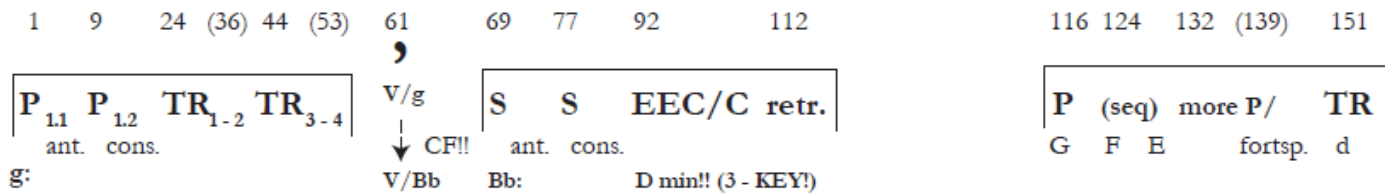
Sonata No. 2 in B-flat minor



Sonata No. 3 in B minor



Cello Sonata in G minor



Example 10a. Chopin Piano Sonata No. 2, op. 35, 1st mvt., exposition, onset of S

31

37

MC S

sostenuto

ff *p*

* *al.* * *al.* * *al.* * *al.* * *al.* * *al.* * *al.* * *al.* *

al. * *al.* * *al.* * *al.* *

al. *

Example 10b. Chopin Piano Sonata No. 2, op. 35, 1st mvt., rotation 2, onset of S

155

161

stretto *ff* *cresc.*

* *al.* * *al.* * *al.* *

* *al.* * *al.* * *al.* * *al.* * *al.* * *al.* * *al.* *

167

S *sostenuto*

* *al.* *

Example 11c. Chopin, Piano Sonata No. 3, op. 58, 1st mvt., development, false resolution

115

118 *S₂, wrong key!*
sempre legato

121

Example 11d. Chopin, Piano Sonata No. 3, op. 58, 1st mvt., development, RFC

130

133 RFC
cresc.

136

Example 11e. Chopin, Piano Sonata No. 3, op. 58, 1st mvt., onset of tonal resolution (S)

The image displays three systems of musical notation for Chopin's Piano Sonata No. 3, op. 58, 1st movement, measures 145-151. The first system (measures 145-147) features a complex, rapid right-hand passage with numerous fingerings (1-5) and a *dim.* marking. The second system (measures 148-150) continues the right-hand passage, ending with a *poco rit.* marking and a final cadence. The third system (measures 151) shows the beginning of the next section, marked *p* and *S₁*, with a series of chords in the right hand and a rhythmic accompaniment in the left hand. The left hand in the third system includes markings for *And.* and *And.* with asterisks.

Example 12a. Chopin, Cello Sonata, op. 65, 1st mvt., exposition, caesura-fill and S

54

58

65

S

Example 12b. Chopin, Cello Sonata, op. 65, 1st mvt., exposition, closing in D minor (three-key exp.)

86

Musical score for measures 86-91. The score is in D minor (three-key exposition). It features a cello line and a piano accompaniment. The piano part includes chords marked with asterisks (*) and dynamic markings such as *ff* and *f*. The cello line has a *rit.* marking. The piano part has a *rit.* marking at the end of the system.

92

C

Musical score for measures 92-97. The score is in D minor (three-key exposition). It features a cello line and a piano accompaniment. The piano part includes chords marked with asterisks (*) and dynamic markings such as *p*. The cello line has a *rit.* marking. The piano part has a *rit.* marking at the end of the system.

Example 12c. Cello Sonata, op. 65, 1st mvt., development, transition into tonal resolution (caesura fill)

165

Musical score for measures 165-169. The system includes a cello line and a piano accompaniment. The piano part features a complex rhythmic pattern with many beamed sixteenth notes. The tempo is marked *stretto*. There are asterisks (*) under the piano part, and some notes are marked with *Red.* (redaction).

170

Musical score for measures 170-173. The system includes a cello line and a piano accompaniment. The tempo changes from *rallent.* to *a tempo*. The piano part has a melodic line with some grace notes and a bass line with chords. There are asterisks (*) under the piano part, and some notes are marked with *Red.* (redaction).

174

Musical score for measures 174-177. The system includes a cello line and a piano accompaniment. The tempo is marked *Tempo I.*. The piano part has a complex rhythmic pattern with many beamed sixteenth notes. There are asterisks (*) under the piano part, and some notes are marked with *Red.* (redaction).

180

Musical score for measures 180-183. The system includes a cello line and a piano accompaniment. The piano part has a melodic line with some grace notes and a bass line with chords. There are asterisks (*) under the piano part, and some notes are marked with *Red.* (redaction).